

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

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Attorney Docket No.: Wuesthoff-4 (L0016US/MGL/sh)

Appl. No.: 10/500,037

Applicants: Ralf KNAPPE, Achim NEBEL

Filed: June 23, 2004

Title: **DEVICE AND METHOD FOR THE OPTICAL EXCITATION OF
LASERACTIVE CRYSTALS WITH POLARISATION-DEPENDENT
ABSORPTION**

TC/A.U.: 2828

Examiner: Armando Rodriguez

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

In response to the Office Action mailed on July 14, 2006, which set a period for response to expire on October 16, 2006, (October 14, 2006 was a Saturday) please amend the above identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

FACSIMILE COVER SHEET

The Law Offices of
STRAUB & POKOTYLO

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Facsimile No.: (571) 273-8300

Telephone No.: _____

From: Michael P. Straub, Esq.

Date: October 16, 2006

Number of Pages Including Cover: 13

MESSAGE: FORMAL SUBMISSION OF:

- 1) Transmittal;
- 2) Fee transmittal (in duplicate); and
- 3) Amendment.

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CRYSTALS WITH POLARISATION-DEPENDENT ABSORPTION

TC/A.U.: 2828

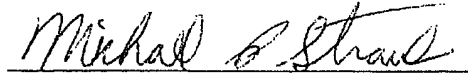
Examiner: Armando Rodriguez

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (and any accompanying paper(s))
is being facsimile transmitted to the United States Patents and
Trademark Office on the date shown below.

Michael P. Straub

Type or print name of person signing certification



Signature

October 16, 2006

Date

Modified PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2006

Effective 12/08/2004. Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------------------|
| Application Number | 10/500,037 |
| Filing Date | June 23, 2004 |
| First Named Inventor | Ralf Knappe |
| Examiner Name | Armando Rodriguez |
| Art Unit | 2828 |
| Attorney Docket No. | Wuesthoff-4 (L0016US/MGL/sh) |

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☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 800.00
METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

| | |
|------------------------|-------------------|
| Deposit Account Number | 50-1049 |
| Deposit Account Name | Straub & Pokotylo |

The Commissioner is authorized to: (check all that apply)

☒ Charge any fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) due in connection with the filing submitted herewith

☐ Charge fee(s) indicated below, except for the filing fee in the to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING, SEARCH & EXAMINATION FEES**

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid |
|--------------------------|--------------------------|-----------------|--------------------|
| 1000 | 500 | Utility fee | |
| 430 | 215 | Design fee | |
| 660 | 330 | Plant fee | |
| 1400 | 700 | Reissue fee | |
| 200 | 100 | Provisional fee | |
| SUBTOTAL (1) | | | (\$) 00.00 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent | 26** = | X | |
| Claims | 7 - 3** = | 4 X 200 = | 800 |
| Multiple Dependent | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|---|
| 1202 50 | 2202 25 | Claims in excess of 20 |
| 1201 200 | 2201 100 | Independent claims in excess of 3 |
| 1203 360 | 2203 180 | Multiple dependent claim, if not paid |
| 1204 200 | 2204 100 | **Reissue independent claims over original patent |
| 1205 50 | 2205 25 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 800.00

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|---|-------------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 120 | 2251 60 | Extension for reply within first month | |
| 1252 450 | 2252 225 | Extension for reply within second month | |
| 1253 1,020 | 2253 510 | Extension for reply within third month | |
| 1254 1,590 | 2254 795 | Extension for reply within fourth month | |
| 1255 2,160 | 2255 1,080 | Extension for reply within fifth month | |
| 1401 500 | 2401 250 | Notice of Appeal | |
| 1402 500 | 2402 250 | Filing a brief in support of an appeal | |
| 1403 1,000 | 2403 500 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 500 | 2452 250 | Petition to revive - unavoidable | |
| 1453 1,500 | 2453 750 | Petition to revive - unintentional | |
| 1501 1,400 | 2501 700 | Utility issue fee (or reissue) | |
| 1502 800 | 2502 400 | Design issue fee | |
| 1503 1,100 | 2503 550 | Plant issue fee | |
| Petitions to the Commissioner - check fee sheet | | | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(c) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 790 | 2809 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 790 | 2810 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 790 | 2801 395 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 00.00**SUBMITTED BY**

(Complete if applicable)

| | | | | | |
|-------------------|--------------------------|-----------------------------------|------------------|-----------|----------------|
| Name (Print/Type) | Michael P. Straub | Registration No. (Attorney/Agent) | 36,941 | Telephone | (732) 542-9070 |
| Signature | <i>Michael P. Straub</i> | Date | October 16, 2006 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231.

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